

510(k) Submission Checklist

#	Title	Information	Ready	N/A	
1	MDUFA Cover Sheet	Medical Device User Fee Amendments (MDUFA) Cover Sheet (Form 3601)	<input type="checkbox"/>	<input type="checkbox"/>	
2	CDRH Premarket Review Submission Cover Sheet	CDRH Premarket Review Submission Voluntary Cover Sheet (Form 3514)	<input type="checkbox"/>	<input type="checkbox"/>	
3	510(k) Cover Letter	Appendix A of “Guidance for Industry and FDA Staff Format for Traditional and Abbreviated 510(k)s”	<input type="checkbox"/>	<input type="checkbox"/>	
4	Indications for Use Statement	Use the Indications for Use Statement format in Section D: Form 3881	<input type="checkbox"/>	<input type="checkbox"/>	
5	510(k) Summary or 510(k) Statement	A 510(k) Summary or a 510(k) Statement is accepted	<input type="checkbox"/>	<input type="checkbox"/>	
6	Truthful and Accurate Statement	Suggested format for the Truthful and Accurate Statement	<input type="checkbox"/>	<input type="checkbox"/>	
7	Class III Summary and Certification	Class III Summary and Certification Format	<input type="checkbox"/>	<input type="checkbox"/>	
8	Financial Certification or Disclosure Statement	<ol style="list-style-type: none"> Certification: Financial Interests and Arrangements of Clinical Investigators Disclosure: Financial Interests and Arrangements of Clinical Investigators 	FDA Form 3454 FDA Form 3455	<input type="checkbox"/>	<input type="checkbox"/>
9	Declarations of Conformity and Summary Reports	<ol style="list-style-type: none"> Use of Standards in Substantial Equivalence Determinations FDA Standards program Declaration of conformity Required Elements for Declaration of Conformity to Recognized Standard 	FDA Form 3654	<input type="checkbox"/>	<input type="checkbox"/>

10	Product Description	Provide description of the product’s performance specifications and include a brief description of the design requirement. Include diagrams, dimensions, tolerances, and/or schematics (as appropriate).	<input type="checkbox"/>	<input type="checkbox"/>
11	Executive Summary/ Predicate Comparison	Executive summary should include: <ul style="list-style-type: none"> • Concise description of the product (with indications for use and technology) • Product comparison table • Concise summary for any performance testing in the submission Learn more	<input type="checkbox"/>	<input type="checkbox"/>
12	Substantial Equivalence Discussion	Demonstrate the substantial equivalence for: <ul style="list-style-type: none"> • Indications for use • Technology • Performance specifications, including any testing Learn more	<input type="checkbox"/>	<input type="checkbox"/>
13	Proposed Labeling	#G911-1 , FDA 89-4203 and Guidance on Medical Device Patient Labeling	<input type="checkbox"/>	<input type="checkbox"/>
14	Sterilization/ Shelf Life	For products sold as sterile or reprocessed single use products	<input type="checkbox"/>	<input type="checkbox"/>
15	Biocompatibility	Biocompatibility assessment of tissue-contacting components or a statement (biocompatibility testing is not needed as long as a rationale is provided)	<input type="checkbox"/>	<input type="checkbox"/>
16	Software	Guidance for the Content of Premarket Submissions for Software Contained in Medical Devices	<input type="checkbox"/>	<input type="checkbox"/>
17	Electromagnetic Compatibility and Electrical Safety	<ol style="list-style-type: none"> 1. CDRH Medical Device Electromagnetic Compatibility Program 2. Also see IEC 60601-1 - Medical electrical equipment - Part 1: General requirements for basic safety and essential performance or an equivalent method 	<input type="checkbox"/>	<input type="checkbox"/>
18	Performance Testing – Bench	Non-clinical bench performance testing – recommended content and format	<input type="checkbox"/>	<input type="checkbox"/>




19	Performance Testing – Animal	Animal testing results that support the performance characteristics of the product	<input type="checkbox"/>	<input type="checkbox"/>
20	Performance Testing – Clinical		<input type="checkbox"/>	<input type="checkbox"/>
21	Disclosure	Certification form and Financial Disclosure form	<input type="checkbox"/>	<input type="checkbox"/>
22	Kit Certification	For review purpose: Kit certification for premarket notification (510(k))	<input type="checkbox"/>	<input type="checkbox"/>


Note: The following information is not intended to serve as a comprehensive review. The FDA recommends that the submitter include this completed checklist as part of the application. The guidance includes acceptance checklists for each type of 510(k) submission:

- [Traditional 510\(k\) Checklist](#)
- [Abbreviated 510\(k\) Checklist](#)
- [Special 510\(k\) Checklist](#)


510(k) Toolkit

 Search
[FDA 510\(k\) Database](#)


DiMe Resources




[At-a-Glance](#)



[Preparation Guide](#)



[Checklist](#)



[FAQs](#)

Access DiMe's Digital Health Regulatory Pathway Resources



Identify your regulatory pathway



Build your regulatory strategy



Interact with regulators

